



**THIS IS NOT  
AN ORDER**

**REQUEST FOR BIDS/PROPOSALS COVERSHEET**  
**THE UNIVERSITY OF SOUTHERN MISSISSIPPI**  
**Procurement and Contract Services**  
**118 College Drive #5003, Hattiesburg, Mississippi 39406-0001**

**Date:** May 14, 2020

**Bid No. 20-35**

THE UNIVERSITY OF SOUTHERN MISSISSIPPI is considering the purchase of the following item(s). We ask that you submit your bid and retain one copy for your files. Right is reserved to accept or reject any part of your bid. Your quotation will be given consideration if received in Bond Hall, Room 214 on or before:

2:00 p.m. CT

June 3, 2020

**Buyer:** Jessica Whitten

Name: \_\_\_\_\_

Company: \_\_\_\_\_

Address: \_\_\_\_\_

City/State/Zip: \_\_\_\_\_

TERMS - Bidder should state terms of sale. Our terms are 2% ten days, net 45 days.

These terms will apply per Mississippi law.

AWARDING CONTRACT - Cash terms will not be used as a basis for awarding contracts; however, the University will accept cash discounts when earned.

**NOTE: If you cannot quote on the exact material shown, please indicate any exception giving brand name and complete specifications of any alternate. If additional space is required, use a separate sheet or letter of transmittal.**

| ITEM | QUANTITY | DESCRIPTION  | UNIT PRICE | TOTAL NET PRICE |
|------|----------|--|------------|-----------------|
|      |          | <p align="center"><b>RFx #3160003606</b></p> <p align="center"><b>DESCRIPTION</b></p> <p align="center"><b>RFP 20-35 Electronic Research Administration and Risk Compliance Software</b></p> <p>PROPOSAL MUST BE RETURNED TO THE UNIVERSITY IN ACCORDANCE WITH THE SPECIFICATIONS. RFP NUMBER AND DATE OF BID OPENING MUST BE SHOWN ON THE OUTSIDE OF THE ENVELOPE IF USING THAT METHOD.</p> |            |                 |

We quote you as above-F.O.B. The University of Southern Mississippi. Shipment can be made in \_\_\_\_\_ days from receipt of order. DATE \_\_\_\_\_ TERMS \_\_\_\_\_  
Return quotation to Procurement Services at above address.

**Signature Required** \_\_\_\_\_

**THE UNIVERSITY OF SOUTHERN MISSISSIPPI  
PROCUREMENT SERVICES  
118 COLLEGE DRIVE  
#5003  
HATTIESBURG, MS 39406-0001**

**GENERAL TERMS, CONDITIONS AND INSTRUCTIONS FOR BIDS/PROPOSALS**

- 1.) Failure to examine any drawings, specifications, and instructions will be at bidder's risk.
- 2.) Samples of items when called for must be furnished free of expense and if not destroyed in testing, will, upon request, be returned at the bidder's expense. Request for the return of samples must be made within ten (10) days following opening bids. Each individual sample must be labeled with bidder's name and manufacturer's brand name and number.
- 3.) Bids must be signed and sealed with bidder's name and address on the outside of the envelope, and the time and date of the bid opening and the bid file number shown in the lower-left corner of the packages; envelopes, express mailing labels, boxes, etc.
- 4.) At least one (1) signed original and one (1) signed copy of the bid **MUST** be provided. The University **requires** a portable electronic virus/malware free copy (thumb drive) of the bid response from the responding Vendor to be included in the bid response package. If an electronic copy is not included, the University reserves the right to request an electronic copy of the **exact** bid response prior to review of the bid.
- 5.) For your bid to be considered, it must be received, and time stamped in our office by 2:00 P.M. of the bid opening date. It is the responsibility of the vendor to ensure their bid is received within the appointed time. If your bid package is not received in Bond Hall, Room 214, by 2:00 P.M. of the bid opening date, it will not be considered.
  - a. If you are delivering your bid, you need to hand carry the bid package to: The University of Southern Mississippi  
Procurement Services  
Bond Hall, Room 214  
Hattiesburg, Mississippi
  - b. If you are mailing your bid package via U.S. Postal Service, mail to: The University of Southern Mississippi  
Procurement Services  
118 College Drive #5003  
Hattiesburg, MS 39406-0001
  - c. If you are express mailing your bid package via Federal Express or UPS, or any other delivery service which requires the use of a physical address, deliver to:

The University of Southern Mississippi  
Receiving Department  
2609 West 4<sup>th</sup> Street  
Hattiesburg, MS 39401

- 6.) Bids or proposals shall not be modified, corrected, altered, or amended after the specified closing time and the opening of such bids, unless otherwise noted in the request for bids or proposals.
- 7.) The University of Southern Mississippi reserves the right to reject any and all bids, to waive any informality in bids, and unless otherwise specified by the bidders, to accept any items on the bid. If the bidder fails to state the time within which bids must be accepted, it is understood and agreed that The University of Southern Mississippi shall have 90 days to accept. The University of Southern Mississippi reserves the right to make an award to this bid on an all or none basis, or on a line by line basis, whichever serves the best interest of The University of Southern Mississippi.
- 8.) Contracts and purchases will be made or entered into with the lowest, responsible bidder meeting specifications.
- 9.) A written purchase order or contract award mailed or otherwise furnished to the successful bidder within the time of acceptance specified in the Invitation for Bid results in a binding contract without further action by either party. The contract shall not be assignable by the vendor in whole or in part without the written consent of The University of Southern Mississippi.
- 10.) Unless written exception is provided in the bid response, the winning Vendor agrees to be bound by the USM Terms and Conditions, which are incorporated herein, and may be found at <https://www.usm.edu/procurement-contract-services/usm-terms-and-conditions>.
- 11.) Respondents are required to comply with all applicable local, State and Federal laws, codes, ordinances, and regulations in the provision of the materials required.
- 12.) Respondents must comply with Personally Identifiable Information (PII) data protection regarding State, Federal, and University regulations and policies.
- 13.) Mandatory Legal Provisions
  - a. Any provisions disclaiming implied warranties shall be null and void. See Mississippi Code Annotated Sections 11-7-18 and 75-2-719(4). The Vendor shall not disclaim the implied warranties of merchantability and fitness for a particular purpose.
  - b. The Vendor shall have no limitation on liability for claims related to the following items:
    - i. Infringement issues;
    - ii. Bodily injury;
    - iii. Death;
    - iv. Physical damage to tangible personal and/or real property; and/or

- c. The intentional and willful misconduct or negligent acts of the Vendor and/or Vendor's employees or subcontractors.
  - d. All requirements that the University pay interest are deleted, except for those in conjunction with USM's standard payment terms of Net 45 days. Payments made beyond 45 days are subject to late fees and interest.
  - e. Should any of the terms and conditions in the purchase contract conflict with the laws of the State of Mississippi, the laws of the State of Mississippi shall supersede and govern. A revision of the terms and conditions will be required to ensure compliance with Mississippi state law.
  - f. The University shall not pay any attorney's fees, prejudgment interest or costs associated with any legal action to or for the Vendor, except that which are ordered by a court of competent jurisdiction.
- 14.) Bid files may be examined during normal working hours by bid participants. Non- participants will be prohibited from obtaining any information relative to the bid until the official award has been made.
- 15.) If purchase orders or contracts are canceled because of the awarded vendor's failure to perform or request for price increase, that vendor shall be removed from our bidders'list for a period of 24 months.
- 16.) No addendum will be issued within a period of two (2) working days prior to the time and date set for the bid opening. Should it become necessary to issue an addendum within the two-day period prior to the bid opening, the bid date will be reset giving bidders ample time to answer the addendum.
- 17.) Alternate bids, unless specifically requested or allowed, will not be considered.
- 18.) Bid openings will be conducted open to the public. However, they will serve only to open the bids. No discussion will be held with any vendor as to the quality or provisions of the specifications, and no award will be made either stated or implied at the bid opening. After the close of the bid opening meeting, the bids will be considered to be in the evaluation process and will not be available for review by bidders. Proposal openings are not required to be open to the public; however, the resulting award is open for public inspection.
- 19.) Prices quoted shall be firm for the term of the contract or for the stated time of acceptance.
- 20.) The bidder understands that The University of Southern Mississippi is an equal opportunity employer and, therefore, maintains a policy which prohibits unlawful discrimination based on race, color, creed, sex, age, national origin, physical handicap, disability, or any other such discrimination; and the bidder, by signing this bid, agrees during the term of agreement that the bidder will strictly adhere to this policy in its employment practices and provision of products or services.
- 21.) Bidders must upon request of The University of Southern Mississippi furnish satisfactory evidence of their ability to furnish products or services in accordance with the terms and conditions of these specifications. The University of Southern

Mississippi reserves the right to make the final determination as to the bidder's ability.

- 22.) Questions or problems arising from bid procedures should be directed to the Buyer listed on the solicitation at:

The University of Southern Mississippi  
118 College Drive #5003  
Hattiesburg, MS 39406-0001  
Phone: (601) 266-4131  
[Bids@usm.edu](mailto:Bids@usm.edu)

- 23.) All items must equal or exceed the specifications listed. The absence of detail specifications or the omission of detail description shall be recognized as meaning that only the best commercial practices are to prevail, and that only first quality materials and workmanship are to be used.
- 24.) It is the intent of the specifications to obtain a product that will adequately meet the needs of the user while promoting the greatest extent of competition that is practicable. It is the responsibility of the prospective bidder to review the entire Invitation to Bid packet and to notify The University of Southern Mississippi if the Specifications, Instructions, General, or Special Conditions are formulated in a manner which would unnecessarily restrict competition.
- 25.) It shall be incumbent upon the bidders to understand the specifications. Any requests for clarifications shall be in writing and shall be submitted to our Procurement Services office at least seven (7) business days prior to the time and date set for the bid opening, unless otherwise noted in the bid or proposal specifications.
- 26.) The minimum specifications are used to set a standard and in no case are used with the intention to discriminate against any manufacturer. Bidders should note the name and the manufacturer and model number of the product they propose to furnish and submit descriptive literature.
- 27.) Trade names, brand names, and/or manufacturer's information used in these specifications are for the purpose of establishing quality, unless otherwise noted. Bids on products of other qualified manufacturers are acceptable, provided they are demonstrated as equal to those specified in construction, design and suitability. Each bidder shall submit with his bid a complete brochure with pictures on each item and shall point out specifically any deviations from the specified items. Failure to do so may disqualify any bid. Please bid as specified or an approved equal.
- 28.) A copy of the manufacturer's standard guarantee/warranty shall accompany and become a part of this bid.
- 29.) There are no federal or state laws that prohibit bidders from submitting a bid lower than a price or bid given to the U.S. Government. Bidders may bid lower than U.S. Government contract price without any liability as The University of

Southern Mississippi is exempt from the provisions of the Robinson-Patman Act and other related laws. In addition, the U.S. Government has no provisions in any of its purchasing arrangements with bidders whereby a lower price to The University of Southern Mississippi must automatically be given to the U.S. Government.

- 30.) All invoices, unless noted otherwise, are to be billed to:

The University of Southern Mississippi  
IT Invoices  
118 College Drive #5181  
Hattiesburg, MS 39406-0001  
[ITInvoices@usm.edu](mailto:ITInvoices@usm.edu)

- 31.) All equipment bid shall be of current production and of the latest design and construction.
- 32.) Where all, or part(s), of the bid is requested on a unit price basis, both the unit prices and the extension of the unit prices constitute a basis of determining the lowest responsible and responsive bidder. In cases of error in the extension of price, the unit price will govern.
- 33.) Should the University of Southern Mississippi close due to inclement weather conditions, or any other unforeseen events on the bid opening date, sealed bids will open the following business day at the same time and location.
- 34.) The University reserves the right to solicit Best and Final Offers (BAFOs) from Vendors, principally in situations in which proposal costs eclipse available funding, or the University believes none of the competing proposals presents a Best Value (lowest and best proposal) opportunity. Because of the time and expense incurred by both the Vendor community and the University, BAFOs are not routinely conducted. Vendors should offer their best pricing with the initial solicitation. Situations warranting solicitation of a BAFO will be considered an exceptional practice for any procurement. Vendors that remain in a competitive range within an evaluation may be requested to tender Best and Final Offers, at the sole discretion of the University. All such Vendors will be provided an equal opportunity to respond with a Best and Final Offer under a procedure to be defined by the University that encompasses the specific, refined needs of a project, as part of the BAFO solicitation. The University may re-evaluate and amend the original project specifications should it be deemed necessary in order to improve the opportunity for attaining Best Value scenarios from among the remaining competing Vendors. All BAFO proceedings will be uniformly conducted, in writing, and be subject to solicitation by the University and receipt from the Vendors under a precise schedule
- 35.) As an alternative to traditional sealed bids in envelopes, the University of Southern Mississippi is capable of receiving electronic bid responses. While this option is available, it is not required and we ask that all potential respondents keep in mind that with any electronic system there could be delays or glitches with the submission process; therefore the University highly encourages

traditional sealed bids which are either mailed or submitted in person. Should a vendor choose to submit their response electronically, please follow the instructions below using the following website:

[https://www.ms.gov/dfa/contract\\_bid\\_search/Home/Sell](https://www.ms.gov/dfa/contract_bid_search/Home/Sell). On this site you will find helpful links to procurement opportunities, as well as a link to supplier registration. If not already registered in this system, potential bidders will first need to click on 'Supplier Registration' and follow the steps outlined (a one-time process). Once registered, they can return to the original website and click on 'Procurement Opportunities' where they can either search by keyword for the bid they desire to respond to or leave the search box blank and click 'Search' for a listing of all current bids and proposals for the various State of Mississippi offices.

Regarding construction bids, there is one additional step required during the bid submission process. Along with the bid response and other attachments, contractors will also need to attach their Certificate of Responsibility (COR), or a statement that the bid enclosed does not exceed Fifty Thousand Dollars (\$50,000.00). If their COR or such statement is not attached, the bid will be invalid and not considered.

**AA/EOE/ADA**



THE UNIVERSITY OF  
**SOUTHERN**  
**MISSISSIPPI**®

**Electronic Research  
Administration and Risk  
Compliance Software**

REQUEST FOR BIDS

May 14, 2020



### **A. Instructions to Vendors**

Sealed proposals for this bid will be received on the Request for Bids coversheet.

Each bid must be submitted in a sealed envelope bearing on the outside the name "Electronic Research Administration and Risk Compliance Software" the name of the Vendor, the Vendor address, and the notification of opening on the specified date on the cover sheet.

A pre-bid meeting will be held to answer questions submitted by Vendors during the bid proposal advertising. Vendor questions to be answered at the pre-bid meeting must be submitted by 5:00pm CST Monday, May 18, 2020 to [computer.orders@usm.edu](mailto:computer.orders@usm.edu). The meeting will be held on Wednesday, May 20, 2020 at The University of Southern Mississippi Hattiesburg campus via WebEx at 1:30pm CST to 2:30pm CST. WebEx link will be provided to Vendors that respond with Intent to Bid. Attendance is not required to be eligible to respond.

### **B. Payment**

The currency used for payment of costs will be in United States dollars.

The University requests to be invoiced annually for all products with renewals on the same date.

State law requires that the University receive an **original invoice** from the Vendor and that payment of the invoice is processed **within 45 days of receipt** (Miss Code 31-7-305). The invoice should be on the Vendor's letterhead and/or include an original Vendor representative signature.

USM may choose to use a VISA® Purchasing Card and E-Payables PAVA process for invoice payments in place of a check to pay for purchases from this solicitation. Unless exception is noted in the bid response, the bidder, by submitting a response agrees, to accept the VISA® Purchasing Card and E-Payables PAVA process as an acceptable form of payment and may not add additional service fees/handling charges to purchases made with the VISA® Purchasing Card.

### **C. Length of Agreement**

The University of Southern Mississippi is seeking a five (5) year agreement.

**D. References:** Vendor SHOULD provide a minimum of three (3) customer references, including contact information, that have implemented the proposed solution and/or services in the last 12 months from higher education institutions.

**E. Legibility and Organization:** Proposals MUST be printed, written in English, legible, and appropriately structured as stated in Section 1.5 Instructions to Vendors. Each page needs be numbered sequentially. Proposals MUST be bound

### **F. Right to Use Proposals in Future Projects**

The State reserves the right to evaluate the awarded proposal from this RFP,

including all products and services proposed therein, along with the resulting contractual terms, for possible use in future projects if (a) it is deemed to be in the best interest of the State to do so; and (b) the Vendor is willing to extend a cost less than or equal to that specified in the awarded proposal and resulting contract. A decision concerning the utilization of a Vendor's proposal for future projects is solely at the discretion of the State and requires the agreement of the proposing Vendor. The State's decision to reuse an awarded proposal will be based upon such criteria as: (1) the customer's business requirements; (2) elapsed time since the award of the original project; and/or (3) research on changes in the Vendor, market, and technical environments since the initial award.

**G. Pre-Payments with Pro-Rata Refund**

State law (Section 31-7-305 of the Mississippi Code Ann.) authorizes the issuance of payment after receipt of the invoice and receipt, inspection, and approval of the goods and/or services. The intent is that goods and services must be received, inspected, and accepted prior to payment. Pursuant to this requirement, where pre-payment has been authorized, all pre-payment contracts will require the following statement in the Termination section: "Upon termination of this Agreement by Licensee or by Licensor, Licensor shall issue Licensee a refund of a proportionate share (based on the number of days in the term year before and after the termination) of the Annual Fees paid with respect to that term year."

**H. Criteria for Award**

The Vendor selected for an award will be the Vendor whose proposal, as presented in the response to this RFP, is the most advantageous. The university is not bound to accept the lowest priced proposal if that proposal is not in the best interest of the university as determined by the Evaluation Committee and iTech Department based on the table below:

| <b>Description</b>                | <b>Possible Points</b> |
|-----------------------------------|------------------------|
| Cost                              | 45                     |
| Mandatory Requirements            | 35                     |
| References                        | 5                      |
| Proposal Clarity and Organization | 5                      |
| Delivery Schedule/Project Plan    | 10                     |
| <b>Total Points</b>               | <b>100</b>             |

Consideration may also be given to any additional information and comments if they should increase the benefits to the university. Upon completion of the initial review and evaluation of the proposals submitted, selected Vendors may be invited to participate in oral presentations.

## **I. Bid Specifications**

The Vendor response must meet the specifications and requirements listed in Appendix C. The Vendor must enter a response to each of the items in the Excel spreadsheet provided.

The Excel spreadsheet must be included in the submitted response. Responses must be submitted in the same order as the RFP and should include the question number listed so responses can be easily compared. Southern Miss has no obligation to locate or acknowledge any information in the proposal that is not presented according to these instructions.

## **J. Cloud Hosted Services**

The winning Vendor to a cloud hosted solution proposed must allow the University to comply with the "Mississippi Department of Information Technology Services, Security Services Division, State of Mississippi Enterprise Cloud Offsite Internet Hosting Security Policy." A copy of this policy can be provided upon request to a designated contact for any Vendor that has completed an Intent to Bid from the University website.

Any contract awarded for a cloud hosted solution will be **required** to address the clauses included in Appendix D of this RFP as it relates to either Public Data prior to a Purchase Order being issued.

## **K. Additional Requirements**

The University acknowledges that the specifications within this RFP are not exhaustive. Rather, they reflect the known requirements that must be met by the proposed system. Vendors must specify, here, what additional components may be needed and are proposed in order to complete each configuration.

## **I. Introduction/Objective**

### **Office of Research Administration (ORA)**

The solution must be able to manage proposal development and submission to Grants.gov, as well as automated electronic proposal routing. Routes all external grant proposals (federal, state, foundation, and industry) through the administrative channels needed for approval prior to submission. Manage approvals needed at the institutional level including individuals and/or offices, such as, School Director, College Dean, Provost, etc. ensuring the institutional support required by USM policy, and most funding agencies. All pre-award data is maintained in this system and exported into Peoplesoft (SOARFIN) for financial reporting.

Funding for research and other sponsored projects (including curriculum development, equipment acquisition, community service, etc.) at the University comes from various sources: federal agencies, foundations, industry, state agencies, and private sources, among others. Faculty members prepare and submit proposals either singly, as an affinity group, or with multiple authors. Currently, USM uses Cayuse 424 for pre-award research administration.

This web application is used specifically to simplify the creation, review, approval, and electronic submission of grant proposals, as well as reporting on proposal

activity. It provides a cloud-based solution for proposal development and system-to-system submission of proposals to Grants.gov, the primary portal for proposal submission to the federal government

Last fiscal year USM submitted 467 grant proposals and received 347 new awards. Annually, USM receives nearly \$75M in external funding.

There are nine ORA staff members involved in the proposal submission process. We expect an efficiency improvement of 20% to be realized by implementing this system. Duplicate data entry and manual processes can be eliminated, particularly with interfaces to PeopleSoft financials and HR modules.

### Data Integration

- Export all data out of ORA & ORI systems. Interface with Peoplesoft Financials (SOARFIN) to load applicable proposal information.
- Automated nightly to update system from Peoplesoft HR (SOARHR) with employee salary, job titles, department IDs.
- Integration with ERP (Peoplesoft) for GL, employee & salary data.

Provide a system with dashboards and a hub for all files and source documents, including central management of pre-award documents for review and access to all documents for audit function.

This system will enable tracking of historical data, as well as enable future funding projections.

The System-to-System proposal submission will have robust error and warning notifications, inclusion of all required proposal certifications, as well as submission tracking.

Project-based salary verification/effort certification will interface with PeopleSoft systems to capture salary expenditures for sponsored projects and all funding sources for individuals paid from sponsored projects using project-specific reporting dates.

### **Office of Research Integrity (ORI)**

System to manage Institutional Review Board (IRB) workflow processes to allow quick and direct review by co-Principle Investigator's (PI) and student advisors, school directors, the IRB manager and ORI Director, designated reviewers, full committee review (if needed), and the IRB chair. Workflow processes need to be automated to ensure accurate record-keeping, automated email notifications of progress through the review process, automated renewal notices, and timely transmission of approval documentation based on customizable, user-defined templates.

Human Subjects Research Protocols need to be reviewed and approved by the University's IRB, a committee under the oversight of ORI, before human subjects research is conducted. IRB review is required to comply with federal Health and

Human Services (HHS) regulations (45 CFR Part 46), Food and Drug Administration (FDA) regulations (21 CFR 26, 111) and University Policy (RSCH-VP-004). Considerations of efficiency demand IRB processes be streamlined to allow quick and direct review by the IRB manager, designated reviewers, full committee review (if needed), and the IRB chair. Processes also need to be automated to insure accurate record-keeping, automated renewal notices, automated integration with Collaborative Institutional Training Initiative (CITI) research ethics training certificates, automated email notifications of progress through the review process, and timely transmission of approval documentation. Currently, USM uses Cayuse IRB for Human Subjects Research application, workflow management and reporting.

Annually, USM's IRB receives approximately 600 protocol applications per year. The IRB committee includes 25 members representing a wide variety of academic disciplines and two community members (as per federal requirements). The committee is assisted by the IRB manager and overseen by Director of the Office of Research Integrity.

The new contract will replace all existing contracts for all current products.

Cost for each system and/or feature must be itemized. During the award process it will be determined what systems and features will be contracted & implemented.